# SEC Form 4

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# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

# OMB APPROVAL OMB Number: 3235-0287 Estimated average burden

	-
hours per response:	0.5
Estimated average burden	

1. Name and Address of Reporting Person <sup>*</sup> <u>Moseley Ken</u>			2. Issuer Name and Ticker or Trading Symbol BELLICUM PHARMACEUTICALS, INC [BLCM]		ationship of Reporting Per < all applicable) Director Officer (give title below)	rson(s) to Issuer 10% Owner Other (specify below)	
(Last)	(First) IM PHARMA	(Middle) CEUTICALS, INC.	3. Date of Earliest Transaction (Month/Day/Year) 07/01/2015	1	Sr. VP and Gener	al Counsel	
2130 W. HOLCOMBE BLVD., STE. 800			07/01/2013				
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	vidual or Joint/Group Filing (Check Applicable		
(Street) HOUSTON	ТХ	77030		X	Form filed by One Rep	oorting Person	
	17	//030	-		Form filed by More that Person	n One Reporting	
(City)	(State)	(Zip)					

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	07/01/2015		М		15,000	Α	\$2.55	15,097(1)	D	
Common Stock	07/01/2015		<b>S</b> <sup>(2)</sup>		14,550	D	\$20.5959 <sup>(3)</sup>	547	D	
Common Stock	07/01/2015		<b>S</b> <sup>(2)</sup>		450	D	\$21.3253 <sup>(4)</sup>	97	D	

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option (right to buy)	\$2.55	07/01/2015		М			15,000	(5)	12/31/2022	Common Stock	15,000	\$0	43,823	D	

#### Explanation of Responses:

1. Includes 97 shares acquired under the Issuer's Employee Stock Purchase Plan on June 10, 2015.

2. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted on March 24, 2015.

The price reported is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$20.27 to \$21.26. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth above.
 The price reported is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$21.29 to \$21.38. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth above.
 Z5% of the shares subject to the stock option vested and became exercisable on January 1, 2014, and the remaining shares subject to the stock option vest and become exercisable in 36 equal monthly installments thereafter.

#### **Remarks:**

## <u>/s/ Ken Moseley</u>

\*\* Signature of Reporting Person

07/06/2015

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.